



<b>FORM PTO-1449</b>  <b>INFORMATION DISCLOSURE CITATION IN AN APPLICATION PURSUANT TO 37 CFR §1.97 &amp; 1.98</b>	Docket Number:	Serial Number:
	PC883.00/4906-017   10/763,115	
	Applicant:	
	Roy Lim	
	Filing Date:	Group:
	January 22, 2004	3731

U. S. PATENT DOCUMENTS

Examiner							Filing Date	
Initial		Patent No.	Date	Name	Class	Subcl	If Approp.	
/MP/	A	D377,096	1996	Michelson				
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	G	6,436,142	2002	Paes et al.				
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	I	6,419,706	2002	Graf				
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	K	6,183,517	2001	Suddaby				
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	W	5,653,763	1997	Errico et al.				
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FORM PTO-1449

**INFORMATION DISCLOSURE CITATION  
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37 CFR §1.97 & 1.98**

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PC883.00/4906-017 | 10/763,115

Applicant:  
Roy Lim

Filing Date: | Group:  
January 22, 2004 | 3731

**FOREIGN PATENT DOCUMENTS**

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	Patent No.	Date	Name	Class	Subcl	Yes	No	
/MP/	✓ DD   WO 02/380662	2002	Boehm, et al.					
↓	✓ EE   WO 00/74605	2000	Graf					
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↓	✓ GG   WO 99/32054	1999	Graf					
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EXAMINER

/Michael Priddy/

DATE CONSIDERED

05/02/2007

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## INFORMATION DISCLOSURE STATEMENT BY APPLICANT

*(Use as many sheets as necessary)*

**Complete if Known**


Application Number	10/763,115
Filing Date	January 22, 2004
First Named Inventor	Lim
Art Unit	3731
Examiner Name	
Attorney Docket Number	4906-017/PC883.00

Sheet	1	of	1
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## U. S. PATENT DOCUMENTS

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## FOREIGN PATENT DOCUMENTS

Examiner Initials*	Cite No.	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages Or Relevant Figures Appear	T <sup>o</sup>
		Country Code <sup>3</sup> -Number <sup>4</sup> -Kind Code <sup>5</sup> (if known)				
/MP/	F	WO 2004/019829	03/11/2004	SDGI Holdings, Inc.		
	G	WO 03/092507	11/13/2003	Bertagnoli et al.		
	H	FR 2,817,463	06/07/2002	Stryker Spine SA		
/MP/	I	FR 2,824,261	11/08/2002	LDR Medical		

Examiner Signature	/Michael Priddy/	Date Considered	05/02/2007
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USPTO Patent Documents at [www.uspto.gov](http://www.uspto.gov) or MPEP 901.04. <sup>5</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>6</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>7</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. <sup>8</sup> Applicant is to place a check mark here if English language Translation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. **SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.**

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<b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b> (Use as many sheets as necessary)			<b>Complete if Known</b>		
			Application Number	10/763115	
			Filing Date	1/22/2004	
			First Named Inventor	Llm	
			Art Unit	3731	
			Examiner Name	TBA	
Sheet	1	of	2	Attorney Docket Number	PC883.00/4906-017

U. S. PATENT DOCUMENTS					
Examiner Initials*	Cite No. <sup>1</sup>	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number-Kind Code <sup>2</sup> (if known)			
/MP/		US-4,743,256	05/10/1988	Brantigan	
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Sheet

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of

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/MP/		US-2004/0127994	07/01/2004	Kast et. al.	
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		US-			

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Examiner  
Signature

/Michael Priddy/

Date  
Considered

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